

04-05-05

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b))

Docket No.  
0267-001-2030

In Re Application Of: Paul Endres et al.

APR 04 2005

Serial No.  
10/627,224

Filing Date  
July 25, 2003

Examiner  
Lee, Kyung S.

Group Art Unit  
2832

Title: ROBUST ROCKER SWITCH MECHANISM

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
0267-001-2030

In Re Application: **Paul Endres et al.**

Serial No.

10/627,224

Filing Date

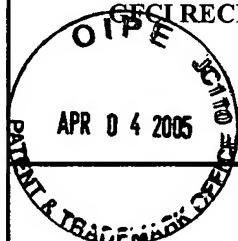
July 25, 2003

Examiner

Lee, Kyung S.

Group Art Unit

2832



**GFCI RECEPTACLE HAVING BLOCKING MEANS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **50-1561** as described below.
- Charge the amount of **\$180.00**
- Credit any overpayment.
- Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

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\*This certificate may only be used if paying by deposit account.

*Signature*

Paul J. Sutton, Esq.  
Greenberg Traurig LLP  
200 Park Avenue  
New York, New York 10166

Tel: 212.801.2108

CC:

Dated: **April 4, 2005**

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

|                         |      |     |
|-------------------------|------|-----|
| TOTAL AMOUNT OF PAYMENT | (\$) | 180 |
|-------------------------|------|-----|

## Complete if Known

|                      |               |
|----------------------|---------------|
| Application Number   | 10/627,224    |
| Filing Date          | July 25, 2003 |
| First Named Inventor | Paul Endres   |
| Examiner Name        | Lee, Kyung S. |
| Art Unit             | 2832          |
| Attorney Docket No.  | 0267-001-2030 |

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 50-1561 Deposit Account Name: Greenberg Traurig, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   | 000            |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

### 2. EXCESS CLAIM FEES

#### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | Small Entity Fee (\$) | Fee (\$) |
|--------------|--------------|----------|---------------|-----------------------|----------|
| - 20 or HP = | 0            | x 0      | = 0           | 50                    | 25       |

HP = highest number of total claims paid for, if greater than 20.

| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | Multiple Dependent Claims | Fee (\$) | Fee Paid (\$) |
|---------------|--------------|----------|---------------|---------------------------|----------|---------------|
| - 3 or HP =   | 0            | x 0      | = 0           | 0                         | 0        | 0             |

HP = highest number of independent claims paid for, if greater than 3.

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
| - 100 =      |              | / 50 = (round up to a whole number) x            |          | = 0.00        |

### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement

180.00

## SUBMITTED BY

|                   |   |                                      |         |           |               |
|-------------------|---|--------------------------------------|---------|-----------|---------------|
| Signature         |  | Registration No.<br>(Attorney/Agent) | 24, 201 | Telephone | 212.801.2108  |
| Name (Print/Type) | Paul J. Sutton  |                                      |         | Date      | April 4, 2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

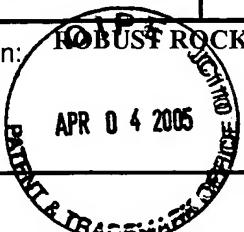
Applicant(s): Paul Endres et al.

Docket No.

0267-001-2030

Serial No.  
10627,224Filing Date  
July 25, 2003Examiner  
Lee, Kyung S.Group Art Unit  
2832

Invention: ROBUST ROCKER SWITCH MECHANISM



I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement (Under 37 CFR 1.97 (b) or 1.97 (c)) (2 pages); Information Disclosure Statement letter (2 pages) in duplicate authorizing use of deposit account; Information Disclosure Statement by applicant (form PTO/SB/08A) (1 page); Fee Transmittal for FY 2005 ( form PTO/SB/17) ( 1 page) and return postal card.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

April 4, 2005

(Date)

Augusta D. Rogers(Typed or Printed Name of Person Mailing Correspondence)(Signature of Person Mailing Correspondence)EV 569743783 US("Express Mail" Mailing Label Number)

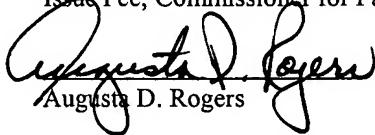
Note: Each paper must have its own certificate of mailing.



Application No. 10/627,224  
Attorney Docket No. 0267-001-2030

Patent

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Label No. EV 569743783 US in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 4, 2005.



Augusta D. Rogers

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Paul Endres et al.  
Serial No.: 10/627,224  
Date Filed: July 25, 2003  
For: ROBUST ROCKER SWITCH MECHANISM  
Art Unit: 2832  
Confirmation No.: 2431  
Examiner: Lee, Kyung S.

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Mail Stop Issue  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to Applicant's duty of disclosure, the information listed in the attached form PTO/SB/08A is brought to the attention of the Examiner. Copies of the foreign references are provided.

It is respectfully requested that the information cited in the attached Form PTO-SB/08A be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

04/06/2005 AWONDAF1 00000056 501561 10627224

01 FC:1806 180.00 DA

#1001877 v12

## REFERENCES

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability or available as prior art.

The Commissioner is hereby authorized to charge the \$180.00 fee for submission of an Information Disclosure Statement required by 37 C.F.R § 1.17(p) to Deposit Account No. 50-1561 of Greenberg Traurig, LLP, Fee Transmittal (PTO/SB/17) in duplicate is attached.

The Commissioner is also hereby authorized to charge any extra fees which may be required and/or credit any overpayment to Deposit Account No. 50-1561 in the name of Greenberg Traurig, LLP. A duplicate copy of this sheet is enclosed for that purpose.

Early and favorable consideration of the case is respectfully requested.

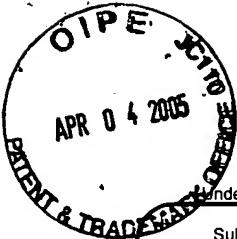
Dated: April 4, 2005

Respectfully submitted,



---

Paul J. Sutton, Esq.  
Registration No. 24,201  
Attorney for Applicants  
Greenberg Traurig, LLP  
200 Park Avenue  
New York, New York 10166  
Tel. (212) 801-2108



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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 1

**Complete if Known**

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/627,224         |
| Filing Date          | July 25, 2003      |
| First Named Inventor | Paul Endres et al. |
| Art Unit             | 2832               |
| Examiner Name        | Lee, Kyung S.      |

Attorney Docket Number 0267-001-2030

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| 1                  | US- 3,609,268         | 09-1971                                  |                                | Sanchez, Gil C.                                    | 200/315   |
| 2                  | US- 5,826,710         | 10-1998                                  |                                | Kurek et al.                                       | 200/559   |
| 3                  | US- 5,500,498         | 03-1996                                  |                                | Kurek et al.                                       | 200/556   |
| 4                  | US- 5,382,768         | 01-1995                                  |                                | Kurek et al.                                       | 200/556   |
| 5                  | US- 5,041,706         | 08-1991                                  |                                | Osika et al.                                       | 200/296   |
| 5                  | US- 6,118,234         | 09-2000                                  |                                | Marcellus et al.                                   | 318/63  |
| 6                  | US- 4,300,026         | 11-1981                                  |                                | Bull, David W.                                     | 200/525   |
|                    | US-                   |  |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
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|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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